

REVIEW

A Review of the Antiviral Properties of Black Elder (*Sambucus nigra* L.) Products

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Black elder (*Sambucus nigra* L.) has a long ethnobotanical history across many disparate cultures as a treatment for viral infection and is currently one of the most-used medicinal plants worldwide. Until recently, however, substantial scientific research concerning its antiviral properties has been lacking. Here, we evaluate the state of current scientific research concerning the use of elderberry extract and related products as antivirals, particularly in the treatment of influenza, as well as their safety and health impacts as dietary supplements. While the extent of black elder's antiviral effects are not well known, antiviral and antimicrobial properties have been demonstrated in these extracts, and the safety of black elder is reflected by the United States Food and Drug Administration approval as generally recognized as safe. A deficit of studies comparing these *S. nigra* products and standard antiviral medications makes informed and detailed recommendations for use of *S. nigra* extracts in medical applications currently impractical. Copyright © 2017 John Wiley & Sons, Ltd.

Keywords: black elder; *Sambucus nigra*; antiviral; review; influenza; elderberry.

BOTANICAL INFORMATION

Black elder [*Sambucus nigra* L., Fam. Adoxaceae (previously Caprifoliaceae); sometimes called European elder] is a deciduous shrub or small tree, reaching 10 m in height with numerous erect stems from a base. Tutin *et al.* (1976) describe it as having brownish-gray corky bark with whitish pith and arching branches; pinnate leaves with 5–7 ovate, ovate-lanceolate or ovate-elliptical leaflets, with serrate margins and sparse abaxial pubescence; fly-pollinated flowers with white petals and light yellow anthers; and dark violet drupes. An example of the plant, its flowers, and its fruit can be seen in Fig. 1. *S. nigra* is found across Europe, northern Africa, west and central Asia, and North America. (It is noteworthy that some – e.g., The Plants Database of the USDA – classify *S. nigra*, *Sambucus canadensis*, and *Sambucus cerula* instead as the cryptic species *S. nigra* ssp. *nigra*, *S. nigra* ssp. *canadensis*, and *S. nigra* ssp. *cerula*. We shall follow The Plant List in listing these as separate species.)

HISTORY OF USE

Various parts of the *S. nigra* plant have been used for thousands of years by Native Americans (Ulbricht *et al.*, 2014) and people of the Mediterranean basin and surrounding regions (Agustí, 1617; Vallès *et al.*, 2004; Jarić *et al.*, 2007). While the primary concern of

this review is its use in the treatment of influenza and other viral and bacterial infections, it has also been used for various other medicinal and dietary applications by these and other ethnic and cultural groups dating back at least to the Ancient Egyptians (Ulbricht *et al.*, 2014). It continues to be commonly gathered as food and medicine and, according to ethnobotanical research, is currently one of the most-used medicinal plants worldwide (Jarić *et al.*, 2007).

CURRENT STATUS

Not all constituents of the *S. nigra* plant are safe for use. Only *S. canadensis* and *S. nigra* flowers have been approved by the United States Food and Drug Administration (FDA) as Generally Recognized as Safe (GRAS) for use as a flavoring ingredient (U.S. Food and Drug Administration, 2016). Further, *S. nigra* flowers have been approved by Commission E of the German Federal Institute for Drugs and Medical Devices for the treatment of viral infections, but other parts of the plant have not (Ulbricht *et al.*, 2014). While the fruit is not on the FDA GRAS list or approved by the German Commission E, analyses of flowers and of cooked fruit have consistently indicated safety; other parts of the plant are less suitable for use (Jensen and Nielsen, 1973; van Damme *et al.*, 1997; de Benito *et al.*, 1998; Ulbricht *et al.*, 2014; Tejero *et al.*, 2015). While there are dosage recommendations for dried *S. nigra* flower, extract, and fruit syrup based on studies of efficacy and toxicology, these are not well standardized. Thus, commercially available black elder products with known chemical ratios and compositions may be preferable to *S. nigra*-containing home remedies. Such

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Figure 1. *Sambucus nigra*, with inset images of its flowers and fruit. The images are reprinted with permission from their original authors: Pennie Logemann of the New England Wild Flower Society (NEWFS) for the image of the whole plant, Glen Mittelhauser of the Maine Natural History Observatory for the inset image of *S. nigra* flowers, and Lawrence Newcomb of NEWFS for the inset image of *S. nigra* fruit. The original images are available from <https://gobotany.newenglandwild.org/species/sambucus/nigra/>. [Colour figure can be viewed at wileyonlinelibrary.com]

products include Sambucol® (Sambucol, Copyright 2011, Pharmicare US Inc., San Diego, CA, USA) (Saphira-Nahor *et al.*, 1995; Zakay-Rones *et al.*, 1995; Morag *et al.*, 1997; Ito *et al.*, 1997; Konlee, 1998; Burge *et al.*, 1999; Barak *et al.*, 2001, 2002; Zakay-Rones *et al.*, 2004; Mandenius *et al.*, 2008), Rubini® (Rubini, Copyright Iprona AG Via Industria LANA (BZ), Italy) (Krawitz *et al.*, 2011; Tiralongo *et al.*, 2016), and other *S. nigra* extracts or syrups (Chatterjee *et al.*, 2004), and black elder-containing multi-herb products such as Sinupret® (Sinupret, Copyright 2014, Bioforce USA, Ghent, NY, USA) (Richstein and Mann, 1980; Neubauer and März, 1994; Ernst *et al.*, 1997; März *et al.*, 1999; Ismail *et al.*, 2003; Melzer *et al.*, 2006; Glatthaar-Saalmüller *et al.*, 2011; Ulbricht *et al.*, 2014). While less research has been carried out on the whole plant, properly prepared *S. nigra* fruit and flowers do appear safe to consume in the absence of contraindications; this is discussed further in the Safety section of this review.

It should be noted that current studies concerning *S. nigra* health properties and use focus primarily on these elderberry extracts and commercial elder-containing multi-herb products and do not use the berries or flowers of the plant directly. This could potentially confound the generalizability of results to the effectiveness of the plant itself; however, as these extracts (although not the multi-herb products) typically contain only such ingredients as glucose syrup, purified water, citric acid, and potassium sorbate (as in the case of Sambucol), in addition to elderberry extract, it will be assumed for the purposes of this review that antimicrobial, antiviral, and general health properties found in such products are due to components of the *S. nigra* extract contained therein. This paper will focus on these extracts as what have been most studied and determined to display these properties; however, all research related to the health effects of *S. nigra* will be discussed.

Research comparing the efficacy and safety of these products to *S. nigra* flowers and fruits is lacking.

CONSTITUENTS

Tables 1 and 2 list the known constituents of *S. nigra* fruit and flowers (respectively); Figs 2 and 3 depict the chemical structures of the most-studied compounds in the fruit and flowers – certain anthocyanins in the fruit, and certain flavonols in the flowers and fruit. *S. nigra* agglutinins (SNAs), although also heavily studied, have more complex structures as proteins and have not been depicted. Flavonoids, separated in the tables into anthocyanins, proanthocyanidins/flavanols, flavonols, flavanones, and flavones, are polyphenolic antioxidants, which, along with other *S. nigra* phenolic acids, display considerable protection against oxidative stress *in vitro*, but debated protection *in vivo* (Laranjinha *et al.*, 1994; Nardini *et al.*, 1995; Youdim *et al.*, 2000; Olthof *et al.*, 2001; Netzel *et al.*, 2002; Williams *et al.*, 2004; Valko *et al.*, 2006; Ding *et al.*, 2006; Lotito and Frei, 2006; González-Segovia *et al.*, 2008; EFSA, 2010; Izzi *et al.*, 2012; Gomes *et al.*, 2012; Chang *et al.*, 2014). In addition, many of these compounds have antiinflammatory (González-Segovia *et al.*, 2008; Izzi *et al.*, 2012; Gomes *et al.*, 2012), anticancer (Yang *et al.*, 2001; López-Lázaro, 2002; Galati and O'Brien, 2004; Valko *et al.*, 2006; Moon *et al.*, 2006; Marczylo *et al.*, 2009; Zamora-Ros *et al.*, 2012; González *et al.*, 2013; Woo and Kim, 2013), anti-diabetic (Christensen *et al.*, 2010; van Dam *et al.*, 2013; Bhattacharya *et al.*, 2013), neuroprotective (Youdim *et al.*, 2002; Schroeter *et al.*, 2002; Chang *et al.*, 2014), and cardiovascular health-promoting properties (Laranjinha *et al.*, 1994; Nardini *et al.*, 1995; Morton *et al.*, 2000; Olthof *et al.*, 2001;

Compound	Study	Concentration	Extract type (temperature in °C)	Number of <i>Sambucus nigra</i> varieties tested
Cyanidin-3-sambubioside-5-glucoside	Brønnum-Hansen and Hansen, 1983	1.1 % of anthocyanins	0.1 M HCl	1
	Macheix <i>et al.</i> , 1990	[14, 47] mg/100 g	Acetonitrile	1
	Kaack and Austed, 1998	19.48 or 10.93 mg/g depending on quantization method	Rubini elderberry extract	13
	Youdim <i>et al.</i> , 2000	82.6 mg/100 g fresh weight	Acetone	1
	Wu <i>et al.</i> , 2004	[16.0, 32.2]; [37.3, 59.2] mg/100 g fresh weight (two growing seasons)	Acidified methanol	2
	Veberic <i>et al.</i> , 2009	[19.52, 53.49] mg/100 g fresh weight	Acidified methanol	5
	Schmitzer <i>et al.</i> , 2010	58.99 mg/L	Aqueous sucrose (100)	1
Antirrhinin	Wu <i>et al.</i> , 2004	4.40 mg/100 g fresh weight	Acetone	1
	Lee and Finn, 2007	[0, 0.3] mg/100 g fresh weight across two growing seasons	Acidified methanol	2
	Veberic <i>et al.</i> , 2009	[1.49, 9.63] mg/100 g fresh weight	Acidified methanol	5
	Schmitzer <i>et al.</i> , 2010	19.22 mg/L	Aqueous sucrose (100)	1
Callistephin	Wu <i>et al.</i> , 2004	1.80 mg/100 g fresh weight	Acetone	1
	Lee and Finn, 2007	<0.3 mg/100 g fresh weight across two growing seasons	Acidified methanol	2
Tulipantin	Lee and Finn, 2007	[0, 0.3] mg/100 g fresh weight across two growing seasons	Acidified methanol	2
	Wu <i>et al.</i> , 2004	<0.025 mg/100 g fresh weight	Acetone	1
Pelargonidin-3-sambubioside	Ulbricht <i>et al.</i> , 2014 (secondary source)			
Peonidin-3-glucoside	Ulbricht <i>et al.</i> , 2014 (secondary source)			
Peonidin-3-sambubioside	Ulbricht <i>et al.</i> , 2014 (secondary source)			
Peonidin monoglucuronide	Ulbricht <i>et al.</i> , 2014 (secondary source)			
Chrysanthemim monoglucuronide	Ulbricht <i>et al.</i> , 2014 (secondary source)			
Proanthocyanidins/Flavanols				
Epicatechin (a monomer)	Mikulic-Petkovsek <i>et al.</i> , 2015	63.71 mg/kg fresh weight	Acidified methanol	1
Monomers	Wu <i>et al.</i> , 2004	1.44 mg/kg fresh weight	Acetone	1
Dimers	Wu <i>et al.</i> , 2004	10.62 mg/kg fresh weight	Acetone	1
Trimers	Wu <i>et al.</i> , 2004	5.63 mg/kg fresh weight	Acetone	1
4-6-mers	Wu <i>et al.</i> , 2004	10.80 mg/kg fresh weight	Acetone	1

Compound	Study	Concentration	Extract type (temperature in °C)	Number of <i>Sambucus nigra</i> varieties tested
Flavonols				
Rutin	Dawidowicz <i>et al.</i> , 2006	1.09 g/kg	Ethanol (20)	1
	Lee and Finn, 2007	1.54 g/kg [46.5, 72.7]; [42.6, 95.6] mg/100 g fresh weight (two growing seasons)	Ethanol (100) Acidified methanol	1 2
	Veberic <i>et al.</i> , 2009	[35.59, 52.02] mg/100 g fresh weight	Acidified methanol	5
	Schmitzer <i>et al.</i> , 2010	109.57 mg/L	Aqueous sucrose (100)	1
	Mikulic-Petkovsek <i>et al.</i> , 2015	313.30 mg/kg fresh weight	Acidified methanol	1
Isoquercetin	Dawidowicz <i>et al.</i> , 2006	0.18 g/kg	Ethanol (20)	1
		0.30 g/kg	Ethanol (100)	1
	Lee and Finn, 2007	[3.9, 9.5]; [5.2, 14.9] mg/100 g fresh weight (two growing seasons)	Acidified methanol	2
	Veberic <i>et al.</i> , 2009	[6.38, 26.52] mg/100 g fresh weight	Acidified methanol	5
	Schmitzer <i>et al.</i> , 2010	7.79 mg/L	Aqueous sucrose (100)	1
	Mikulic-Petkovsek <i>et al.</i> , 2015	43.52 mg/kg fresh weight	Acidified methanol	1
Kaempferol-3- <i>O</i> -rutinoside	Lee and Finn, 2007	0.7; [1.1, 1.2] mg/100 g fresh weight (two growing seasons)	Acidified methanol	2
	Schmitzer <i>et al.</i> , 2010	0.74 mg/L	Aqueous sucrose (100)	1
	Mikulic-Petkovsek <i>et al.</i> , 2015	4.2 mg/kg fresh weight	Acidified methanol	1
Isorhamnetin-3- <i>O</i> -rutinoside	Lee and Finn, 2007	[0.3, 0.7]; [0.7, 2.2] mg/100 g fresh weight (two growing seasons)	Acidified methanol	2
	Mikulic-Petkovsek <i>et al.</i> , 2015	2.03 mg/kg fresh weight	Acidified methanol	1
Quercetin	Kaack and Austed, 1998	[29, 60] mg/100 g	Acetonitrile	13
	Veberic <i>et al.</i> , 2009	[2.70, 4.50] mg/100 g fresh weight	Acidified methanol	5
Astragalín	Dawidowicz <i>et al.</i> , 2006	0.11 g/kg	Ethanol (20)	1
		0.18 g/kg	Ethanol (100)	1
Unidentified quercetin acetylhexosides	Mikulic-Petkovsek <i>et al.</i> , 2015	4.66 mg/kg fresh weight (This was the concentration of one out of two noted but unidentified quercetin acetylhexosides)	Acidified methanol	1
Unidentified quercetin hexoside pentosides	Mikulic-Petkovsek <i>et al.</i> , 2015	3.36 mg/kg fresh weight (This was the concentration of one out of two noted but unidentified quercetin hexoside pentosides)	Acidified methanol	1
5,7,3',4'-tetra- <i>O</i> -methylquercetin	Roschek <i>et al.</i> , 2009		Supercritical CO ₂ ; ethanol	1
5,7-dihydroxy-4-oxo-2-(3,4,5-trihydroxyphenyl)chroman-3-yl-3,4,5-trihydroxycyclohexanecarboxylate	Roschek <i>et al.</i> , 2009		Supercritical CO ₂ ; ethanol	1
Isorhamnetin-3- <i>O</i> -glucoside	Lee and Finn, 2007	[0, 0.1]; [0, 0.3] mg/100 g fresh weight (two growing seasons)	Acidified methanol	2

Compound	Study	Concentration	Extract type (temperature in °C)	Number of <i>Sambucus nigra</i> varieties tested
Flavanones				
Unidentified naringenin hexosides	Mikulic-Petkovsek <i>et al.</i> , 2015		Acidified methanol	1
Phenolic acids				
5- <i>O</i> - <i>trans</i> -caffeoylquinic acid	Lee and Finn, 2007	[26.4, 28.1]; [34.7, 35.9] mg/100 g fresh weight (two growing seasons)	Acidified methanol	2
	Schmitzer <i>et al.</i> , 2010	15.46 mg/L	Aqueous sucrose (100)	1
	Mikulic-Petkovsek <i>et al.</i> , 2015	153.80 mg/kg fresh weight	Acidified methanol	1
3- <i>O</i> -caffeoylquinic acid	Lee and Finn, 2007	[0.7, 1.1]; [0.9, 4.4] mg/100 g fresh weight (two growing seasons)	Acidified methanol	2
	Schmitzer <i>et al.</i> , 2010	42.76 mg/L	Aqueous sucrose (100)	1
4- <i>O</i> -caffeoylquinic acid	Mikulic-Petkovsek <i>et al.</i> , 2015	88.41 mg/kg fresh weight	Acidified methanol	1
	Lee and Finn, 2007	[1.2, 1.6]; [1.9, 2.5] mg/100 g fresh weight fresh weight (two growing seasons)	Acidified methanol	2
5- <i>O</i> - <i>cis</i> -caffeoylquinic acid	Mikulic-Petkovsek <i>et al.</i> , 2015	28.68 mg/kg fresh weight	Acidified methanol	1
3- <i>O</i> -feruloylquinic acid	Mikulic-Petkovsek <i>et al.</i> , 2015	40.13 mg/kg fresh weight	Acidified methanol	1
3- <i>O</i> - <i>p</i> -coumaroylquinic acid	Mikulic-Petkovsek <i>et al.</i> , 2015	18.78 mg/kg fresh weight	Acidified methanol	1
4- <i>O</i> - <i>cis</i> - <i>p</i> -coumaroylquinic acid	Mikulic-Petkovsek <i>et al.</i> , 2015	11.94 mg/kg fresh weight	ACIDIFIED methanol	1
	Mikulic-Petkovsek <i>et al.</i> , 2015	10.20 mg/kg fresh weight (It is uncertain whether this concentration was for the <i>cis</i> - or <i>trans</i> - form of the molecule; the concentration of only one form was obtained.)	Acidified methanol	1
4- <i>O</i> - <i>trans</i> - <i>p</i> -coumaroylquinic acid	Mikulic-Petkovsek <i>et al.</i> , 2015	10.20 mg/kg fresh weight (It is uncertain whether this concentration was for the <i>cis</i> - or <i>trans</i> - form of the molecule; the concentration of only one form was obtained.)	Acidified methanol	1
3,5-di- <i>O</i> -caffeoylquinic acid	Mikulic-Petkovsek <i>et al.</i> , 2015	3.43 mg/kg fresh weight	Acidified methanol	1
4,5-di- <i>O</i> -caffeoylquinic acid	Mikulic-Petkovsek <i>et al.</i> , 2015	2.29 mg/kg fresh weight	Acidified methanol	1
Additional organic acids				
Shikimic acid	Veberic <i>et al.</i> , 2009	[0.14, 0.93] g/kg fresh weight	Aqueous (room temperature)	5
	Mikulic-Petkovsek <i>et al.</i> , 2016	44.48 mg/kg fresh weight	Aqueous (room temperature)	1
Fumaric acid	Veberic <i>et al.</i> , 2009	[0.10, 0.29] g/kg fresh weight	Aqueous (room temperature)	5
	Mikulic-Petkovsek <i>et al.</i> , 2016	19.83 mg/kg fresh weight	aqueous (room temperature)	1
Citric acid	Veberic <i>et al.</i> , 2009	[3.08, 4.81] g/kg fresh weight	Aqueous (room temperature)	5
	Mikulic-Petkovsek <i>et al.</i> , 2016	9.66 g/kg fresh weight	Aqueous (room temperature)	1
Malic acid	Veberic <i>et al.</i> , 2009	[0.97, 1.31] g/kg fresh weight	Aqueous (room temperature)	5
	Mikulic-Petkovsek <i>et al.</i> , 2016	8.82 g/kg fresh weight	Aqueous (room temperature)	1
Tartaric acid	Mikulic-Petkovsek <i>et al.</i> , 2016	1.52 g/kg fresh weight	Aqueous (room temperature)	1
Valeric acid	Duke, 1985		Aqueous (room temperature)	1

Compound	Study	Concentration	Extract type (temperature in °C)	Number of <i>Sambucus nigra</i> varieties tested
Vitamins				
Ascorbic acid	Duke, 1985 Kaack and Austed, 1998 Jablonska-Ryś <i>et al.</i> , 2009 Duke, 1985	[6, 25] mg/100 g 116.70 mg/100 g fresh weight	Acetonitrile	1 13 1 1
Retinol				
Sugars				
Peptic polysaccharides (containing Ara, Rha, Xyl, Man, Gal, Glc, GalA, GalA, and 4-O-Me-GlcA; AG-II and other proteins; and phenols)	Ho <i>et al.</i> , 2015 Ho <i>et al.</i> , 2016		Ethanol (70, 50); aqueous (50, 100) Ethanol (70, 50); aqueous (50, 100)	1 1
Glucose	Veberic <i>et al.</i> , 2009 Mikulic-Petkovsek <i>et al.</i> , 2016	[33.33, 50.23] g/kg fresh weight 29.03 g/kg fresh weight	Aqueous (room temperature) Aqueous (room temperature)	5 1
Fructose	Veberic <i>et al.</i> , 2009 Mikulic-Petkovsek <i>et al.</i> , 2016	[33.99, 52.25] g/kg fresh weight 26.81 g/kg fresh weight	Aqueous (room temperature) Aqueous (room temperature)	5 1
Sucrose	Veberic <i>et al.</i> , 2009 Mikulic-Petkovsek <i>et al.</i> , 2016	[0.47, 1.68] g/kg fresh weight 10.46 g/kg fresh weight	Aqueous (room temperature) Aqueous (room temperature)	5 1
Lectins				
SNA-IV (<i>Sambucus nigra</i> agglutinin-IV)	Mach <i>et al.</i> , 1991 Mach <i>et al.</i> , 1996 Girbés <i>et al.</i> , 1996 van Damme <i>et al.</i> , 1997 Tejero <i>et al.</i> , 2015		Phosphate-buffered saline (4) Phosphate-buffered saline (4) Aqueous	1 1 1 1
SNA-V (<i>Sambucus nigra</i> agglutinin-V)	Shang <i>et al.</i> , 2015 Girbés <i>et al.</i> , 1996 Citores <i>et al.</i> , 1996 van Damme <i>et al.</i> , 1997 Tejero <i>et al.</i> , 2015		Phosphate-buffered saline (4) Saline Aqueous	1 1 1 1 1

Table 2. Chemical constituents of *Sambucus nigra* flowers

Compound	Study	Concentration	Extract type (temperature in °C)	Number of <i>Sambucus nigra</i> varieties tested
Flavonols				
Rutin	Davidik, 1961	3% of dry weight	Methanol	1
	Seitz and Bonn, 1991		Methanol (60)	1
	Pietta <i>et al.</i> , 1992		Methanol (room temperature)	1
	Dawidowicz <i>et al.</i> , 2006	13.27 g/kg	Ethanol (20)	1
	Christensen <i>et al.</i> , 2008	20.21 g/kg	Ethanol (100)	1
	Christensen <i>et al.</i> , 2010	[11.6, 42.3] mg/g dry weight	Aqueous MeCN	16
	Bhattacharya <i>et al.</i> , 2013	[1.1, 63.0] mg/100 g extract	Aqueous (25 w/w %) (70)	44
	Seitz and Bonn, 1991		Methanol (5)	1
	Pietta <i>et al.</i> , 1992		Dichloromethane; methanol (5)	1
	Dawidowicz <i>et al.</i> , 2006		Methanol (60)	1
Isoquercetin	Christensen <i>et al.</i> , 2008	0.54 g/kg	Methanol (room temperature)	1
	Christensen <i>et al.</i> , 2010	0.97 g/kg	Ethanol (20)	1
	Bhattacharya <i>et al.</i> , 2013	[0.4, 1.9] mg/g dry weight	Ethanol (100)	1
	Seitz and Bonn, 1991	[0.2, 8.6] mg/100 g extract	Aqueous MeCN	16
	Pietta <i>et al.</i> , 1992		Aqueous (25 w/w %) (70)	44
	Dawidowicz <i>et al.</i> , 2006		Dichloromethane; methanol (5)	1
	Christensen <i>et al.</i> , 2008		Aqueous MeCN	16
	Bhattacharya <i>et al.</i> , 2013	[2.0, 7.5] mg/g dry weight	Aqueous (25 w/w %) (70)	44
	Lamaison <i>et al.</i> , 1991	[0.3, 2.7] mg/100 g extract	Methanol (5)	1
	Christensen <i>et al.</i> , 2008		Dichloromethane; methanol (5)	1
Quercetin-3-O-6"-acetylglucoside	Christensen <i>et al.</i> , 2010	[0.9, 2.8] mg/g dry weight	Aqueous MeCN	16
	Bhattacharya <i>et al.</i> , 2013	[0.4, 8.0] mg/100 g extract	Aqueous (25 w/w %) (70)	44
	Christensen <i>et al.</i> , 2008		Dichloromethane; methanol (5)	1
	Bhattacharya <i>et al.</i> , 2013		Aqueous MeCN	16
	Lamaison <i>et al.</i> , 1991	[0.2, 1.0] mg/g dry weight	Dichloromethane; methanol (5)	1
	Christensen <i>et al.</i> , 2008		Aqueous MeCN	16
	Bhattacharya <i>et al.</i> , 2013	[0.2, 3.0] mg/g dry weight	Aqueous (25 w/w %) (70)	44
	Christensen <i>et al.</i> , 2008	[0.6, 21.5] mg/100 g extract	Methanol (5)	1
	Christensen <i>et al.</i> , 2010	0.13 g/kg	Ethanol (20)	1
	Dawidowicz <i>et al.</i> , 2006	0.25 g/kg	Ethanol (100)	1
Kaempferol	Bhattacharya <i>et al.</i> , 2013		Dichloromethane; methanol (5)	1
	Seitz and Bonn, 1991		Methanol (60)	1
	Bhattacharya <i>et al.</i> , 2013		Dichloromethane; methanol (5)	1
	Seitz and Bonn, 1991		Methanol (60)	1
	Christensen <i>et al.</i> , 2010		Dichloromethane; methanol (5)	1
	Dawidowicz <i>et al.</i> , 2006		Methanol (60)	1
	Bhattacharya <i>et al.</i> , 2013		Methanol (5)	1
	Christensen <i>et al.</i> , 2008		Methanol (60)	1
	Bhattacharya <i>et al.</i> , 2013		Methanol (5)	1
	Christensen <i>et al.</i> , 2010		Methanol (5)	1
Naringenin	Christensen <i>et al.</i> , 2010		Methanol (5)	1
	Bhattacharya <i>et al.</i> , 2013		Dichloromethane; methanol (5)	1

Compound	Study	Concentration	Extract type (temperature in °C)	Number of <i>Sambucus nigra</i> varieties tested
Flavones				
Luteolin	Seitz and Bonn, 1991		Methanol (60)	1
Phenolic acids				
5- <i>O</i> - <i>trans</i> -caffeoylquinic acid	Petitjean-Freytet <i>et al.</i> , 1991 Urbánek <i>et al.</i> , 2002 Hawryl <i>et al.</i> , 2002 Christensen <i>et al.</i> , 2008	[10.1, 20.7] mg/g dry weight [1.0, 63.8] mg/100 g extract	Methanol Aqueous MeCN Aqueous (25 w/w %) (70) Methanol (5) Dichloromethane; methanol (5)	1 1 1 16 44
3,5-di- <i>O</i> -caffeoylquinic acid	Christensen <i>et al.</i> , 2010 Bhattacharya <i>et al.</i> , 2013 Hawryl <i>et al.</i> , 2002 Christensen <i>et al.</i> , 2008	[0.5, 3.2] mg/g dry weight [0.1, 0.7] mg/g dry weight	Aqueous MeCN Aqueous (25 w/w %) (70) Methanol (5) Methanol	1 1 16 44
<i>p</i> -coumaric acid	Christensen <i>et al.</i> , 2010 Urbánek <i>et al.</i> , 2002 Hawryl <i>et al.</i> , 2002 Bhattacharya <i>et al.</i> , 2013 Christensen <i>et al.</i> , 2008	[0.5, 1.2] mg/g dry weight [1.7, 5.9] mg/g dry weight	Dichloromethane; methanol (5) Aqueous MeCN Aqueous (25 w/w %) (70) Methanol (5) Methanol	1 1 16 44 1 1 1
5- <i>O</i> - <i>p</i> -coumaroylquinic acid	Christensen <i>et al.</i> , 2010 Seitz and Bonn, 1991 Christensen <i>et al.</i> , 2008	[0.8, 2.4] mg/g dry weight [0.3, 13.6] mg/100 g extract	Aqueous MeCN Aqueous (25 w/w %) (70) Methanol (5) Methanol (60) Aqueous MeCN Aqueous (25 w/w %) (70) Methanol (60)	1 16 44 1 1 16 44
3- <i>O</i> -caffeoylquinic acid	Seitz and Bonn, 1991 Bhattacharya <i>et al.</i> , 2013 Seitz and Bonn, 1991 Bhattacharya <i>et al.</i> , 2013 Christensen <i>et al.</i> , 2008	[8.0, 13.9] mg/g dry weight [0.6, 11.9] mg/g dry weight [0.6, 1.5] mg/g dry weight [0.2, 2.3] mg/100 g extract [0.4, 1.2] mg/g dry weight [0.2, 14.3] mg/g dry weight	Dichloromethane; methanol (5) Methanol (60) Dichloromethane; methanol (5) Aqueous MeCN Aqueous (25 w/w %) (70) Aqueous MeCN Aqueous (25 w/w %) (70)	1 1 1 16 44 16 44
Ferulic acid	Christensen <i>et al.</i> , 2008	[0.2, 1.5] mg/g dry weight	Aqueous MeCN Aqueous (25 w/w %) (70)	16 44
Caffeic acid	Christensen <i>et al.</i> , 2008	[0.4, 1.2] mg/g dry weight	Aqueous MeCN Aqueous (25 w/w %) (70)	16 44
1,5-di- <i>O</i> -caffeoylquinic acid	Christensen <i>et al.</i> , 2008	[0.2, 1.9] mg/g dry weight	Aqueous MeCN Aqueous (25 w/w %) (70)	16 44
4- <i>O</i> -caffeoylquinic acid	Christensen <i>et al.</i> , 2008	[0.2, 0.6] mg/100 g extract [0.1, 1.5] mg/g dry weight	Aqueous MeCN Aqueous (25 w/w %) (70) Dichloromethane; methanol (5)	16 44 1
3,4-di- <i>O</i> -caffeoylquinic acid	Christensen <i>et al.</i> , 2008	[0.4, 1.9] mg/g dry weight	Aqueous MeCN Aqueous (25 w/w %) (70)	16 44
3- <i>O</i> - <i>p</i> -coumaroylquinic acid	Christensen <i>et al.</i> , 2008	[0.2, 0.6] mg/100 g extract [0.1, 1.5] mg/g dry weight	Aqueous MeCN Aqueous (25 w/w %) (70) Dichloromethane; methanol (5)	16 44 1
4,5-di- <i>O</i> -caffeoylquinic acid	Christensen <i>et al.</i> , 2008	[0.1, 1.5] mg/g dry weight	Dichloromethane; methanol (5)	1
<i>p</i> -coumaric acid hexoside	Bhattacharya <i>et al.</i> , 2013			
Essential fatty acids				
α -Linolenic acid	Christensen <i>et al.</i> , 2010 Bhattacharya <i>et al.</i> , 2013		Methanol (5) Dichloromethane; methanol (5)	1 1
Linoleic acid	Christensen <i>et al.</i> , 2010 Bhattacharya <i>et al.</i> , 2013		Methanol (5) Dichloromethane; methanol (5)	1 1

Compound	Study	Concentration	Extract type (temperature in °C)	Number of <i>Sambucus nigra</i> varieties tested
Additional organic acids				
Malic acid	Mikulic-Petkovsek <i>et al.</i> , 2016	30.19 g/kg dry weight	Aqueous (room temperature)	1
Citric acid	Mikulic-Petkovsek <i>et al.</i> , 2016	7.86 g/kg dry weight	Aqueous (room temperature)	1
Tartaric acid	Mikulic-Petkovsek <i>et al.</i> , 2016	3.29 g/kg dry weight	Aqueous (room temperature)	1
Shikimic acid	Mikulic-Petkovsek <i>et al.</i> , 2016	2.35 g/kg dry weight	Aqueous (room temperature)	1
Fumaric acid	Mikulic-Petkovsek <i>et al.</i> , 2016	0.43 g/kg dry weight	Aqueous (room temperature)	1
Palmitic acid	Toulemonde and Richard, 1983	11.3% of distilled oil	Steam-distilled oil	1
Sugars				
Sucrose	Mikulic-Petkovsek <i>et al.</i> , 2016	27.35 g/kg dry weight	Aqueous (room temperature)	1
Fructose	Mikulic-Petkovsek <i>et al.</i> , 2016	24.28 g/kg dry weight	Aqueous (room temperature)	1
Glucose	Mikulic-Petkovsek <i>et al.</i> , 2016	19.71 g/kg dry weight	Aqueous (room temperature)	1
Terpenes				
Epoxylinalool	Bhattacharya <i>et al.</i> , 2013		Dichloromethane; methanol (5)	1
Linalool	Toulemonde and Richard, 1983	3.7% of distilled oil	Steam-distilled oil	1
<i>cis</i> -rose oxide	Toulemonde and Richard, 1983	3.4% of distilled oil	Steam-distilled oil	1
<i>trans</i> -rose oxide	Toulemonde and Richard, 1983	1.7% of distilled oil	Steam-distilled oil	1
Additional alcohols				
<i>Trans</i> -3,7-dimethyl-1,3,7-octatrien-3-ol	Toulemonde and Richard, 1983	13.0% of distilled oil	Steam-distilled oil	1
<i>cis</i> -hexenol	Toulemonde and Richard, 1983	2.5% of distilled oil	Steam-distilled oil	1

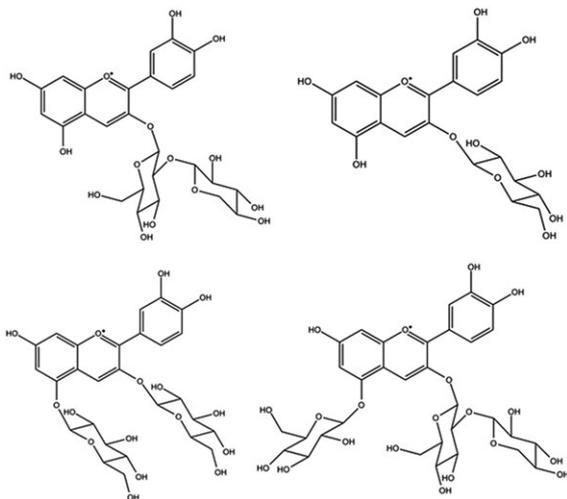


Figure 2. Most-studied anthocyanins of *Sambucus nigra* fruit. Clockwise from top left: cyanidin-3-sambubioside, chrysanthemine, cyanidin-3-sambubioside-5-glucoside, and cyanidin-3,5-diglucoside.

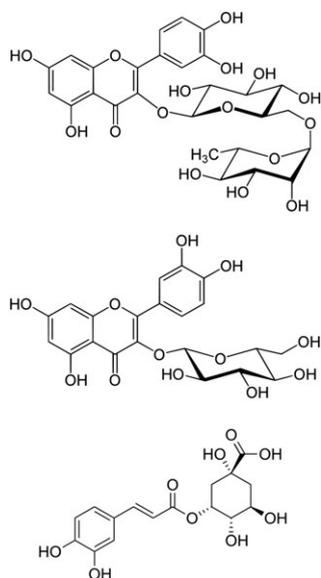


Figure 3. Most-studied flavonols of *Sambucus nigra* flowers and fruit. From top to bottom: rutin, isoquercetin, and 5-*O*-*trans*-caffeoylquinic acid.

Steinberg *et al.*, 2003; van Dam *et al.*, 2013; Siasos *et al.*, 2013; Tangney and Rasmussen, 2013; Chang *et al.*, 2014; Cappello *et al.*, 2016). Most pertinent to the purposes of this study are the immune-stimulating, antimicrobial and antiviral effects of these flavonoids, as well as the varied polyphenolic constituents of the plant (Nagai *et al.*, 1990; Middleton and Kandaswami, 1992; Nagai *et al.*, 1992; Amoros *et al.*, 1992; Mahmood *et al.*, 1993; Hernández *et al.*, 2000; Choi *et al.*, 2007; González-Segovia *et al.*, 2008; Oh *et al.*, 2010). Of particular interest are the effects of the *S. nigra* flavonoids kaempferol, against herpes simplex virus type 1 (HSV-1) (Amoros *et al.*, 1992); quercetin, against HSV-1 (Amoros *et al.*, 1992) and *Helicobacter pylori* (González-Segovia *et al.*, 2008); epicatechin, against the human immunodeficiency virus (HIV) (Mahmood *et al.*, 1993); and 5,7,3',4'-tetra-*O*-methylquercetin and 5,7-dihydroxy-4-oxo-2-(3,4,5-trihydroxyphenyl)chroman-3-yl-3,4,5-trihydroxycyclohexanecarboxylate against influenza (Roschek *et al.*, 2009). While it is uncertain what

mechanisms are primarily responsible for *S. nigra*'s inhibition of influenza, its high flavonoid content is one possibility.

Another extremely important group of black elder constituents is that of SNAs, which are lectins and ribosome-inactivating proteins (RIPs). It is important to note that while nonspecific RIPs found in other plants have the potential for great toxicity, highly specific RIPs, such as those found in *S. nigra* fruit, have the potential to target specific cells, and are thus often good candidates to act as therapeutic agents (Girbés *et al.*, 1996; van Damme *et al.*, 1996; Citores *et al.*, 1996; van Damme *et al.*, 1997; Girbés *et al.*, 2003; Stirpe and Battelli, 2006; Tejero *et al.*, 2015). *S. nigra* bark SNAs have been studied, which show high affinity for sialic acid- α -2,6-galactose (SA α 2,6Gal) – and specifically *N*-Acetylneuraminic acid- α -2,6-galactose (Neu5Ac α 2,6Gal) – to which many pathogens bind in order to initiate pathogenesis (Shibuya *et al.*, 1987; Rogerieux *et al.*, 1993; Haseley *et al.*, 1999; Nicholls *et al.*, 2007; Chen *et al.*, 2007; Song *et al.*, 2011; Narla and Sun, 2012; Gregorio-Jauregui *et al.*, 2014). This study does not list the constituents of *S. nigra* bark, as it is inadvisable to consume the bark of the plant (van Damme *et al.*, 1997; de Benito *et al.*, 1998; Ulbricht *et al.*, 2014; Tejero *et al.*, 2015). However, these SNAs are mentioned here because, if isolated, they could be beneficial in the inhibition of pathogens; further, these bark SNAs are very similar to SNAs found in the fruit of the plant, SNA-IV and SNA-V, which have been shown to be non-toxic in murine and human cellular models (Mach *et al.*, 1991; Girbés *et al.*, 1996; Mach *et al.*, 1996; Citores *et al.*, 1996; van Damme *et al.*, 1997; Girbés *et al.*, 2003; Tejero *et al.*, 2015). Many RIPs have been isolated, which display antiviral and antitumor effects, and SNA-IV shows homology to some such antiviral RIPs (McGrath *et al.*, 1989; Collins *et al.*, 1990; Lee-Huang *et al.*, 1991; Girbés *et al.*, 1996; Au *et al.*, 2000; Wang *et al.*, 2000; Girbés *et al.*, 2003). SNA-V shows high homology to the Neu5Ac α 2,6Gal-specific *S. nigra* bark lectin SNA-I (van Damme *et al.*, 1996) and may display antiviral properties (Vandenbussche *et al.*, 2004). More specifically, SNA-IV interacts with SA α 2,6Gals similarly to *S. nigra* bark lectins, and SNA-V with Gal and NAcGal residues, indicating competitive inhibition of pathogens, which utilize these galactose residues, as is seen with bark SNAs (van Damme *et al.*, 1996; Shang *et al.*, 2015). The extent of this potential inhibition is unknown, but it is unlikely to be the only antiviral mechanism in the plant, as *S. nigra* flowers have not been shown to contain these SNAs, yet have shown antiviral activity, as discussed in the Antiviral Properties section of this review.

In addition to flavonoids, phenolic acids, and SNAs, *S. nigra* fruit contains peptic polysaccharides, which also appear to play a role in the interaction of *S. nigra* with the human immune system through the stimulation of macrophages (Ho *et al.*, 2015; Ho *et al.*, 2016). Further research is needed to determine the extent of these properties.

It is noteworthy that based on chemical makeup alone, *S. nigra* appears to be the best candidate among studied *Sambucus* species as a therapeutic agent and general dietary supplement. Compared with *S. canadensis*, *S. nigra* has higher concentrations of cinnamic acids, flavonol glycosides, and polyphenolic

compounds in general (Lee and Finn, 2007). In other studies, *S. nigra* was shown to have the most organic acids and smallest sugars to organic acids ratio across seven *Sambucus* species and hybrids (Mikulic-Petkovsek *et al.*, 2016), and by far the highest flavonol concentration, as well as a favorable phenolic composition, across 13 *Sambucus* species and hybrids (Mikulic-Petkovsek *et al.*, 2015). Further, while some other members of the genus have been reported to display similar medicinal effects, many have higher concentrations of toxins and are considered unsafe for use (Hardin and Arena, 1974; Vigneaux, 1985; Mulet, 1990; Agelet, 2000; Boada and Romanillos, 1999; Bruneton, 2001; Vallès *et al.*, 2004). While more research is needed, the hypothesis that *S. nigra* is the safest and healthiest member of the genus is also consistent with ethnobotanical history of *Sambucus* species usage, in which *S. nigra* is heavily favored.

GENERAL HEALTH PROPERTIES

Because of its high flavonoid and polyphenolics content, *S. nigra* has received some attention for its antioxidant properties. Preparations from both *S. nigra* flowers and fruit have been shown to provide antioxidant effects *in vitro*, although the extent of this antioxidant activity *in vivo* is debated, as discussed in the Constituents section of this review in the case of flavonoids in general (Abuja *et al.*, 1998; Cao and Prior, 1999; Pool-Zobel *et al.*, 1999; Youdim *et al.*, 2000; Murkovic *et al.*, 2001; Milbury *et al.*, 2002; Roy *et al.*, 2002; Wu *et al.*, 2002; Lugasi and Hóvári, 2003; Wu *et al.*, 2004; Ginsburg *et al.*, 2004; Nakajima *et al.*, 2004; Lichtenthaler and Marx, 2005; Dawidowicz *et al.*, 2006; Jabłońska-Ryś *et al.*, 2009; Shipp and Abdel-Aal, 2010; Schmitzer *et al.*, 2010; Bratu *et al.*, 2012; Jimenez *et al.*, 2014; Topofská *et al.*, 2015). *S. nigra* has also shown promise as a treatment for many other conditions. Multiple studies have demonstrated the antiinflammatory effects of *S. nigra* (Mascolo *et al.*, 1987; Timoshenko and Cherenkevich, 1995; Yesilada *et al.*, 1997; Haas *et al.*, 1999; Barak *et al.*, 2001; Bobek *et al.*, 2001; Barak *et al.*, 2002; Harokopakis *et al.*, 2006; Thole *et al.*, 2006; Ulbricht *et al.*, 2014). It appears to act as a diuretic (Beaux *et al.*, 1999), and to lower blood pressure (Chrubasik *et al.*, 2008; Hasani-Ranjbar *et al.*, 2009). It may have potential in the reduction of certain genotoxin levels (Cheng *et al.*, 2007) and shows promise in the inhibition of certain cancers (Emig *et al.*, 1995; Thole *et al.*, 2006). Black elder has great potential in treating obesity, as its use appears to reduce post-meal lipid levels (Vlachojannis *et al.*, 2010), decrease cholesterol concentration and serum lipids (Mülleder *et al.*, 2002; Murkovic *et al.*, 2004), and decrease mean body weight in obese patients (Chrubasik *et al.*, 2008; Hasani-Ranjbar *et al.*, 2009). Further, it has been shown to promote insulin secretion and cellular glucose uptake and thus shows potential in treating diabetes mellitus (Gray *et al.*, 2000; Christensen *et al.*, 2009; Christensen *et al.*, 2010; Bhattacharya *et al.*, 2013). Less certain are its potential as a laxative (Picon *et al.*, 2010) and in the inhibition of gingivitis (Grbic *et al.*, 2011; Samuels *et al.*, 2012a; Samuels *et al.*, 2012b). It also has the potential to prevent endothelial dysfunction, atherosclerosis,

and gout through XO inhibition of its constituent quercetin (Chang *et al.*, 1993; Lü *et al.*, 2010; Lin *et al.*, 2008; Schröder *et al.*, 2006). While there are thus many conditions that *S. nigra* has shown initial promise in treating or preventing, its efficacy in these potential treatments has not yet been fully determined, and most of these studies are preliminary; further research is required.

ANTIMICROBIAL PROPERTIES

As discussed in the Constituents section of this review, *S. nigra* fruit contains lectins with affinity for SA α 2,6Gal residues, and which may thus competitively inhibit pathogens that attach to these residues on host cells. *Helicobacter pylori* (Ilver *et al.*, 2003), *Escherichia coli* (Vimr and Troy, 1985; Ilver *et al.*, 2003; Vimr *et al.*, 2004), *Streptococcus pneumoniae* (Kahane and Ofek, 1996; Sharon, 2006), *Plasmodium falciparum* (Varki and Varki, 2007), *Haemophilus ducreyi* (Post *et al.*, 2005), *Haemophilus influenza* (Severi *et al.*, 2007), and a great many other bacterial and fungal pathogens (Kahane and Ofek, 1996; Schauer, 2000; Angata and Varki, 2002; Sharon, 2006; Severi *et al.*, 2007; Varki, 2007; Varki, 2008) all utilize these sialic acids as important aspects of their pathogenicity and virulence and thus may be inhibited by *S. nigra* fruit lectins. However, sufficient research verifying this hypothesis as to the antipathogenic activity of fruit SNAs is lacking.

While there is little research as to the mechanisms, several studies have shown the efficacy of *S. nigra* against select microbial pathogens. *In vitro*, an elderberry extract from InterHealth Nutraceuticals Incorporated has been shown to inhibit the pathogenic bacterium *Helicobacter pylori* (Chatterjee *et al.*, 2004), and Rubini elderberry extract has been shown to inhibit the pathogenic bacteria *Streptococcus pyogenes*, group C and G *Streptococci*, *Branhamella catarrhalis*, and *Haemophilus influenza* (Krawitz *et al.*, 2011). The latter study also found that Rubini does not appear to significantly inhibit certain other pathogenic bacteria, such as *Staphylococcus aureus*, *Streptococcus mutans*, or *Haemophilus parainfluenzae* (Krawitz *et al.*, 2011). *S. nigra* also appears to lessen symptoms of bacterial sinusitis (although many of the studies indicating this are confounded by use of a multi-herb treatment instead of *S. nigra* alone; Sinupret, of which *S. nigra* flowers constitute 3/13 of the herbal constituents (Neubauer and März, 1994), was most studied); *S. nigra* also appears to improve the efficacy of doxycycline against bacterial infections (Richstein and Mann, 1980; Neubauer and März, 1994; Ueno *et al.*, 1997; Ernst *et al.*, 1997; März *et al.*, 1999; Melzer *et al.*, 2006). Sinupret additionally shows promise in the treatment of bronchitis (Ernst *et al.*, 1997). While initial research indicates the potential of *S. nigra* to inhibit certain pathogens, further *in vitro* and clinical studies are required to determine the extent of such effects, and which bacterial and fungal pathogens are susceptible.

ANTIVIRAL PROPERTIES

The high affinity of SNAs for SA α 2,6Gals and particularly Neu5Ac α 2,6Gal, discussed previously in reference

to bacterial and fungal infection, is extremely important in many viral pathogenesises as well. As with bacteria and fungi, a great many viral pathogens have been identified, which use these sialic acids as important aspects of their pathogenicity and virulence (Kahane and Ofek, 1996; Schauer, 2000; Angata and Varki, 2002; Sharon, 2006; Varki, 2007; Severi *et al.*, 2007; Varki, 2008.). Perhaps most notable are human parainfluenza viruses (Fukushima *et al.*, 2014) and influenza viruses (discussed further later). *S. nigra* flavonoids, polyphenolic compounds, and immunomodulating peptic polysaccharides may also be important in viral inhibition, as discussed in the Constituents section of this review. Regardless of the mechanisms, black elder fruit extracts and flower infusions have shown effectiveness in mitigating symptoms of and quickly curing multiple viral infections.

Sambucol is a 38% *S. nigra* fruit extract in a solution of glucose syrup, purified water, citric acid, and potassium sorbate; it also contained small amounts of raspberry extract as late as the Zakay-Rones *et al.* study in 2004, although the raspberry extract is not believed to have played a significant part in the effect of the extract because of its small dosage and is no longer contained in Sambucol (Zakay-Rones *et al.*, 1995, 2004). Sambucol has been shown *in vitro* to significantly inhibit HSV-1 even in strains resistant to multiple traditional antiviral medications (Morag *et al.*, 1997). Sambucol has also been shown to have a significant antiviral effect against HIV *in vitro* (Saphira-Nahor *et al.*, 1995), and in combination with olive leaf extract, it demonstrated a 17,000 to 4000 particle/mL drop in viral load in one HIV-infected patient (Konlee, 1998). Another patient saw a drop in viral load from 39,000 to non-detectable levels in 10 days while ingesting boiled elderberry extract, glucosamine sulfate, chondroitin, and the commercial product Thymate (promoted as an immune booster) (No authors listed, 1998). An infusion of *S. nigra* flowers, *Hypericum perforatum* aerial components, and *Saponaria officinalis* roots also showed promising results, inhibiting HSV-1 *in vitro* and influenza A and B both *in vitro* and in animal models (Serkedjieva *et al.*, 1990; Serkedjieva, 1996). Sinupret likewise displayed impressive antiviral activity against a broad spectrum of pathogenic viruses *in vitro*, inhibiting the replication of both DNA and RNA viruses (Glatthaar-Saalmüller *et al.*, 2011) – in contrast to most other plant-derived substances, which typically inhibit either DNA or RNA viruses but rarely both. More studies are needed, which focus on *S. nigra* alone, as most of the promising results seen are confounded by use of a multi-herb treatment. Further research is also needed to determine the efficacy of *S. nigra* as a broad-spectrum antiviral, as most studies focus on one or two specific viral pathogens.

Influenza: mechanisms and *in vitro* studies

The effects of *S. nigra* against influenza have been most heavily studied, but research in this field is still typically considered only B-level, as substantial research is still needed in certain areas (Ulbricht *et al.*, 2014; B-level rating is according to the National Standard Evidence-Based Validated Grading Rationale). Few mechanisms have been detailed explaining the influenza-inhibiting effects of various black elder constituents. At least two *S. nigra* flavonoids have been shown *in vitro* to bind

to influenza virions of at least one strain (H1N1), as discussed previously, and strongly inhibit host cell entry or recognition, effectively rendering the virus inert in the host (Roschek *et al.*, 2009; Ulbricht *et al.*, 2014). *S. nigra* fruit lectins appear to also be important in inhibiting influenza's pathogenesis. SA α 2,6Gals, and particularly Neu5Aca2,6Gal, are extremely important in the pathogenicity of the influenza virus, as influenza virus hemagglutinin (HA) binds to these sialic acids (Rogers and Paulson, 1983; Rogers *et al.*, 1983; Rogers and D'Souza, 1989; Connor *et al.*, 1994; Gambaryan *et al.*, 1997; Brinkman-Van der Linden *et al.*, 2002; Wagner *et al.*, 2002; Shinya *et al.*, 2006; Yamada *et al.*, 2006; Gamblin and Skehel, 2010) as a precursor to entering the host cell, which occurs once HA is cleaved by host proteases and the virus is endocytosed (Steinhauer, 1999). Thus, *S. nigra* fruit SNAs appear to prevent influenza infection by competitively inhibiting the influenza virus' binding to host cells to begin its pathogenesis. HA is also responsible for the virus' capacity to induce hemagglutination in human hosts (Ito *et al.*, 1997; Mandenius *et al.*, 2008), which Sambucol has been shown *in vitro* to inhibit fourfold to 16-fold depending on the strain of influenza (Zakay-Rones *et al.*, 1995). Studies have also indicated that elderberry's effectiveness against infection may be due to immune stimulation (Kinoshita *et al.*, 2012; Ho *et al.*, 2015; Ho *et al.*, 2016). In order to move toward the best possible treatment for influenza, more research is needed to determine to what extent *S. nigra* antiviral effects are due to each chemical constituent of the plant, and if synergistic effects exist between combinations of these constituents to increase their therapeutic potential.

Multiple *in vitro* studies using standardized elderberry extracts have found them to inhibit influenza. One study found dilutions of Sambucol of between 1:8 and 1:16 to significantly inhibit the replication of all 16 tested influenza A and B strains based on dosage and to inhibit virally instigated hemagglutination of all of four tested influenza A and B strains (Zakay-Rones *et al.*, 1995). Another study found Sambucol to inhibit avian influenza titer by 99% to greater than 99.9% when incubated for 30 seconds in a 1:4 and 1:8 dilution, respectively, and greater than 99.99% when incubated for 1 h (the longest incubation time tested (Balasingam *et al.*, 2006). The same study found that host cells incubated 4 h in Sambucol showed little toxicity at such dilutions, and no cytotoxicity at dilutions of 1:80 or lower; no tests for cytotoxicity were carried out for incubations of a shorter duration. Konlee (1998) likewise found Sambucol to inhibit nine tested strains of influenza, although this study was less detailed overall. Rubini similarly displays reduced influenza spread and titre, one study finding that a 1:100 dilution resulted in 30% inhibition of one influenza A strain and 25% inhibition of one influenza B strain (Krawitz *et al.*, 2011). Another study found that concentrated elderberry juice inhibited influenza when introduced alongside the influenza infection, but had little effect when it was introduced post-infection (Kinoshita *et al.*, 2012). This may indicate that *S. nigra* may be more effective preventatively than if first administered during an existing infection or could simply show that the reported clinical efficacy of *S. nigra* against influenza (discussed later) is not primarily the result of direct interaction between *S. nigra* constituents and the

virus, but more complex interactions in the host, such as immune stimulation; however, this study is also the only study using elderberry juice instead of an extract to study the ability of *S. nigra* to inhibit influenza, and such results may be due to this difference in treatment. While initial *in vitro* results on *S. nigra* extracts are promising and supported by research on individual compounds contained in such extracts, more *in vitro* studies are needed to determine with certainty the efficacy and mechanisms of action of the whole extract in influenza inhibition and its safety to host cells.

Influenza: clinical and animal studies

Human clinical studies on the effects of *S. nigra* against influenza are summarized in Table 3. Zakay-Rones *et al.* have published two clinical studies (Zakay-Rones *et al.*, 1995; Zakay-Rones *et al.*, 2004) indicating rapid recovery from influenza A and B with the use of Sambucol. A randomized, double-blind, placebo-controlled clinical study against influenza A and B symptoms and illness duration (as well as that of adenovirus and human respiratory syncytial virus) in 27 Israeli patients, aged 5–50 years, found that patients in the treatment group recovered from fever in 4 days on average as opposed to 6 days in the control group ($p < 0.01$), showed symptomatic improvement in 2 days opposed to at least 5 days in the control group ($p < 0.001$), and were completely cured in 2 to 3 days opposed to at least 5 days in the control group ($p < 0.001$) (Zakay-Rones *et al.*, 1995). The same study also found that healthy adults given Sambucol at this dosage (4 tsp daily) showed no side effects ($n = 35$). It is notable, however, that this study has been criticized by some for its relatively small

sample size and lack of an intent-to-treat analysis (Ulbricht *et al.*, 2014). Zakay-Rones *et al.* later (Zakay-Rones *et al.*, 2004) performed a larger study ($n = 60$) of the same design on adult (18–54 years old) Norwegian influenza patients, which, utilizing global assessments and visual analog scales to determine efficacy, found pronounced improvement in individual symptomatic visual analog scales and global assessment in 2 to 4 days opposed to 7 to 8 days in the placebo control ($p < 0.001$ for both). The study also found the treatment group to use much less rescue medications for their symptoms ($p < 0.001$). This study has also been criticized by Ulbricht *et al.* (2014) as being relatively small and lacking an intent-to-treat analysis. These results are statistically significant in these populations; however, while larger studies are needed, these initial studies are both promising and sound in design. [Ulbricht *et al.* (2014) further criticized the study as failing to report adverse effects or compliance, but these complaints are unfounded as the study clearly addresses both issues, stating that no patients noted any adverse effects and all patients were compliant in taking at least 80% of the prescribed doses.] Konlee (1998) verified the lessened duration of influenza-related illness with another randomized, double-blind, placebo-controlled clinical study of Sambucol against influenza A and B, although it was primarily concerned with *in vitro* effects against influenza and clinical and *in vitro* studies against HIV, discussed previously. These studies all clearly support Sambucol, the most heavily studied commercial *S. nigra* product, as a treatment for influenza.

Yet another randomized, double-blind, placebo-controlled study used an elderberry extract lozenge from HerbalScience Singapore Pte Ltd, containing 175 mg of extract, to determine *S. nigra*'s effectiveness

Table 3. Human clinical studies on the effects of various *Sambucus nigra* treatments against influenza

Study	Treatment	dosage	<i>n</i>	Results	<i>p</i>
Zakay-Rones <i>et al.</i> , 1995	Sambucol®	4 tsp (all adults) once daily for 2 days	25	Absence of side-effects in healthy adults	
		4 tsp (adults) or 2 tsp (children) once daily for 2 days	27	Recovery from fever in 4 days instead of 6 or more days Symptomatic improvement in 2 days instead of 5 or more days Complete recovery in 2–3 days instead of 5 or more days	<0.01 <0.001 <0.001
Konlee, 1998	Sambucol®			Lessened duration of illness	
Zakay-Rones <i>et al.</i> , 2004	Sambucol®	15 mL four times daily for 5 days	60	All individual symptoms relieved in 2–4 days instead of 7–8 days Global assessment showed pronounced improvement after 3 days instead of 7 days Less use of rescue medication than control Absence of side-effects in patients	<0.001 <0.001 <0.001 <0.001
Kong, 2009	Elderberry extract lozenge from HerbalScience Singapore Pte. Ltd.	4 lozenges daily for 2 days	64	24 h: significant improvement in all symptoms except coughing and mucus discharge 48 h: significant improvement in all symptoms 48 h: complete eradication of all symptoms in 28% of treatment group and 0% of control group Absence of side effects in patients	<0.0001 <0.0001
Tiralongo <i>et al.</i> , 2016	Rubini capsules	2 capsules/day priming (9 days), then 3 capsules/day (6 days)	29	Lessened symptom severity Lessened illness duration No significant difference in use of rescue medications	0.05 0.02 0.9
			312	Less occurrence of illness in treatment group (not significant)	0.2

All studies were randomized, double-blinded, and placebo-controlled, with acceptable patient compliance.

in relieving flu-like symptoms (determined through visual analog scales) in 64 patients aged 16 to 60 years (without any identification of the influenza virus as the causative agent being required) (Kong, 2009). The study demonstrated pronounced improvement in most symptoms (fever, headache, muscle aches, and nasal congestion) within 24 h of treatment using elderberry extract (and complete cure of fever in more than half of the treatment group within 24 h), and pronounced improvement in all investigated symptoms (in addition to the aforementioned symptoms, cough and mucus discharge) within 48 h (and complete cure of fever in all treated patients, and of mucus discharge, muscle aches, and headache in at least half of all treated patients). The control group showed a worsening of symptoms over 48 h, and the difference between treatment and control groups was statistically significant at 24 h for all symptoms except cough and mucus discharge ($p < 0.0001$ for all others), and for all symptoms at 48 h ($p < 0.0001$ for all). The treatment group reported no adverse effects of the lozenge. This is the only study using an elderberry extract lozenge.

A randomized, double-blind, placebo-controlled study of Rubini (in capsule form; 300 mg elderberry extract per capsule) analyzed its efficacy in the prevention and treatment of influenza and “colds” during international travel, determined by self-reported symptomatic scales (Tiralongo *et al.*, 2016). The study found a non-significant difference ($p = 0.4$) in the occurrence of illness between the treatment and control groups; because only 29 of the 312 volunteers (healthy adults of 18 years and older) became ill, it is possible that the smaller incidence of illness in the treatment group that was observed would have been statistically significant with a larger sample size. Rubini was also found in this study to decrease the mean duration of these colds by 2 days ($p = 0.05$) and to greatly reduce symptom severity ($p = 0.02$). [Interestingly, the study also found that physical health declined over the duration of travel ($p = 0.005$) in the control group while it remained statistically stable in those taking Rubini ($p = 0.9$). However, the physical health of the treatment group was lower at baseline, and by the end of travel, physical health between the two groups was not significantly different ($p = 0.27$); thus, it is indeterminate whether Rubini may prevent a decline in physical health during travel.] While this study indicates the effectiveness of Rubini in reducing symptom severity and length, further clinical studies on the efficacy of Rubini are needed to verify these initial results.

Despite the non-significant results of the Tiralongo *et al.* (2016) study, other studies have suggested that *S. nigra* may prevent influenza and general viral infection. An exploratory study on healthy chimpanzees ($n = 8$) of aged 4 to 31 years found that untreated animals showed three times the likelihood of illness of individuals receiving 10 mL of Sambucol daily; this supplementation was carried out for approximately 6 months (Burge *et al.*, 1999). When symptoms occurred, the dosage of animals in the treatment group was raised to 15 mL twice daily; it was noted that illnesses in the treatment group lasted an average of only half as long as in the control group. While this is the longest duration study of *S. nigra* extract use against influenza, it is limited by sample size, and similar but larger studies on humans are needed to determine its

potential as a preventative for human influenza and other viral infections. Additional evidence supports that *S. nigra* may have a strong preventative effect against viral infection, and in particular, influenza A; this effect was primarily seen *in vivo* in mice, although it was weak in cell cultures, a difference speculated to have originated from immunostimulating effects of the extract in the host (Kinoshita *et al.*, 2012). Again, however, further research on the potential preventative antiviral effects of *S. nigra* is needed to verify the results of these promising initial studies in humans.

SAFETY

Some *S. nigra* constituents are potentially hazardous. The fruit contains a small concentration of an RIP with slight cytotoxic effects, SNA-V, which is found in higher concentrations in the unripe fruit (Citores *et al.*, 1996; Tejero *et al.*, 2015). This is one of multiple RIPs that may harbor slight toxicity (van Damme *et al.*, 1997; de Benito *et al.*, 1998; Tejero *et al.*, 2015; Shang *et al.*, 2015). Thoroughly cooking the fruit (or boiling the juice) before use, however, should denature such proteins; while this has not yet been experimentally demonstrated with *S. nigra* RIPs, a study on *S. ebulus* berries found that heating the fruit sufficiently inhibited toxic effects from a lectin, which shows high homology to the *S. nigra* RIP Sam n1 (Jimenez *et al.*, 2014). Other cytotoxic and genotoxic effects have been suggested at very high doses, but not at those which would be recommended for use (Bratu *et al.*, 2012). Overall, concerns with the safety of *S. nigra* can be avoided with proper preparation of the plant and proper dosing.

Reports of adverse effects of *S. nigra* use typically result from use of a non-prescribed part of the plant, overdosage, or eating uncooked fruit, and reports of adverse effects with proper usage of the plant are anecdotal and unverified (Kunitz *et al.*, 1984; Ulbricht *et al.*, 2014). Symptoms of *S. nigra* misuse are typically limited to nausea, vomiting, and diarrhea (Lust, 1974; Ulbricht *et al.*, 2014); these issues are all easily avoided by using a properly processed commercial *S. nigra* extract, syrup, or combination therapy. In theory, high-dose or long-term *S. nigra* flower use could pose a risk of excessive diuresis (Beaux *et al.*, 1999). In the treatment of acute viral infection, however, neither high-dose nor long-term prescription would typically be recommended. The only recorded case of *Sambucus* fruit poisoning in recent reports was from uncooked juice from pressed berries, leaves, and branches, which was then left out overnight before consumption, and likely from *S. mexicana* or possibly *S. canadensis*, but doubtfully *S. nigra* (Kunitz *et al.*, 1984). While this was cited by Ulbricht *et al.* (2014) as cause for concern of cyanide toxicity in *S. nigra* fruit and flowers, the potential cyanogenic compounds in question, L-prunasin and D-prunasin, found in non-prescribed parts of the plant and perhaps the unripe fruit, have not been reported in ripe fruit or flowers (Tables 1 and 2); the patients in this incident did not have elevated cyanide levels, although this was tested for; and the fruit, which was picked from wild elder, was not verified as *S. nigra*, which is not native to the region of the incident (while *S. mexicana* is). Further, any potential cyanogenic

compounds would be more likely to have come from the leaves and branches pressed with the juice, which do contain such potentially cyanogenic compounds. Considering the lack of any substantiated claims for concern with regards to cyanide toxicity in ripe fruit or in flowers, it is unlikely that cyanide toxicity is a safety concern in properly consumed *S. nigra*. Further, considering the lack of any verified reports of adverse effects of *S. nigra* flower, ripe cooked fruit, or fruit extract use, and the lack of known potentially harmful compounds at any reasonable dosage, *S. nigra* is likely safe for use temporarily or as a continuous dietary supplement in the absence of contraindications.

Research on potential contraindications is tentative and conservative. Initial research on the use of black elder in pregnancy indicated no teratogenicity (Ismail *et al.*, 2003), and the only noted complication was gastrointestinal distress (Tsui *et al.*, 2001). However, sufficient research to verify the safety of black elder in fetal and early post-natal development is lacking, and *S. nigra* is not recommended for such individuals. *S. nigra* may marginally impair angiogenesis (Roy *et al.*, 2002; Bagchi *et al.*, 2004); more research is needed to determine if the degree of impairment could result in clinically relevant pathologies. *S. nigra* is not recommended for patients allergic or hypersensitive to Adoxaceae or Caprifoliaceae plants because of the risk of allergic reaction (Förster-Waldl *et al.*, 2003; Ulbricht *et al.*, 2014). Chemotherapy patients are cautioned because of the potential for increased adverse effects in general during chemotherapy (Lukash *et al.*, 1997; de Benito *et al.*, 1998; Ulbricht *et al.*, 2014). Hypokaleemics are cautioned against *S. nigra* use because of a possible risk of decreased potassium with its use (Picon *et al.*, 2010). As *S. nigra* stimulates cellular glucose uptake and promotes insulin secretion by beta cells, its use would need to be closely monitored alongside other medications in diabetic patients (Gray *et al.*, 2000; Christensen *et al.*, 2009; Christensen *et al.*, 2010; Bhattacharya *et al.*, 2013). Patients on blood pressure-lowering medications, diuretics, laxatives, or XO inhibitors would likewise need to be monitored closely with *S. nigra* usage, as constituents of the plant have the potential to act synergistically with these medications (Beaux *et al.*, 1999; Chrubasik *et al.*, 2008; Hasani-Ranjbar *et al.*, 2009; Picon *et al.*, 2010; Ulbricht *et al.*, 2014). *S. nigra* has also been implicated as a potential causative agent for gastrointestinal distress or upset and related disorders (Tsui *et al.*, 2001; Grbic *et al.*, 2011; Ulbricht *et al.*, 2014), and possibly dysmenorrhea, migraines, and dorsal muscular pain (Grbic *et al.*, 2011; Ulbricht *et al.*, 2014). Occurrence of complications even in these cases of potential caution appears quite low, although most studies had insufficient sample size to determine the rate of occurrence with high precision or certainty (Lukash *et al.*, 1997; de Benito *et al.*, 1998; Beaux *et al.*, 1999; Gray *et al.*, 2000; Tsui *et al.*, 2001; Roy *et al.*, 2002; Bagchi *et al.*, 2004; Chrubasik *et al.*, 2008; Hasani-Ranjbar *et al.*, 2009; Picon *et al.*, 2010; Grbic *et al.*, 2011; Ulbricht *et al.*, 2014). However, more research is needed to determine the extent of these potential contraindications. Further, because of possible drug interactions in these contraindicated cases, as is often an issue with the use of herbal remedies (which are typically very chemically complex) (Izzo *et al.*, 2016), *S. nigra* should not be prescribed in these cases until research has verified their safe use. In absence of these

contraindications, *S. nigra* flowers and ripe cooked fruit, and standardized elderberry extracts, are likely safe for normal consumption.

RECOMMENDATIONS FOR USE

Adding *S. nigra* flowers or cooked ripe fruit to one's diet in the absence of contraindications appears safe because of its long history of use throughout disparate cultures in medicine and cuisine without report of ill effect (Agustí, 1617; Vallès *et al.*, 2004; Jarić *et al.*, 2007; Ulbricht *et al.*, 2014) and the denaturation of the only known constituents, which could be potentially toxic, SNAs, as discussed previously. Plant constituents other than flowers and ripe fruit are generally considered unsafe for consumption. Known-dosage, properly prepared fruit or flower extracts that denature potentially harmful lectins also appear safe to use in individuals without contraindications and have been indicated as beneficial in preventing and fighting many pathogens, including influenza. It is uncertain whether elderberry extracts or properly prepared fruit or flowers is preferential, because of a lack of clinical research using the fresh plant.

As the probability of complications appears low, because most of the complications indicated are relatively minor (Lukash *et al.*, 1997; de Benito *et al.*, 1998; Beaux *et al.*, 1999; Gray *et al.*, 2000; Tsui *et al.*, 2001; Roy *et al.*, 2002; Ismail *et al.*, 2003; Bagchi *et al.*, 2004; Chrubasik *et al.*, 2008; Hasani-Ranjbar *et al.*, 2009; Picon *et al.*, 2010; Grbic *et al.*, 2011; Ulbricht *et al.*, 2014), and because of noted benefits in the treatment of influenza and other pathogens, *S. nigra*, at proper dosages and in a prepared and well-studied product such as Sambucol or Sinupret may be an optimal choice in the treatment of influenza.

Comparisons with standard antiviral medications

While current research is sufficient to substantiate claims of its preventative effects against viral pathogens, studies directly comparing *S. nigra* and its constituents with conventional antiviral medications are greatly lacking, and thus, its potential as a clinical antiviral medication has not been well established. Perhaps the most important issues to consider between *S. nigra* and traditional antivirals are safety, cost, efficacy, and viral resistance.

It has been noted that herbal remedies are typically better tolerated than synthetic drugs (Izzo *et al.*, 2016). *S. nigra* appears very well tolerated and safe based on a lack of adverse effects seen in clinical studies or other reports, and its long ethnobotanical history as a food and medicinal herb.

The cost of *S. nigra* extract is quite low, as one would expect of an extraction of a common fruit, compared with synthetically manufactured antiviral medications.

Comparative efficacy is best determined through comparative clinical and mechanistic studies. One study comparing the multi-herb treatment TheraMax® (TheraMax, Copyright 2008, Therabiogen, Inc., New York, NY, USA), which contains elderberry extract, to the neuraminidase inhibitor oseltamivir phosphate (Tamiflu®, Tamiflu, Copyright 2017, Genentech, Inc.,

South San Francisco, CA, USA) in influenza-infected mice found that oseltamivir prevented death and mitigated weight loss while most animals treated with TheraMax did not survive (although a treatment containing TheraMax combined directly with the virus prior to inoculation of the mice performed similarly to oseltamivir) (Smee *et al.*, 2011). Another study found the *in vitro* influenza-inhibiting activity of *S. nigra* flavonoids 5,7,3',4'-tetra-*O*-methylquercetin and 5,7-dihydroxy-4-oxo-2-(3,4,5-trihydroxyphenyl)chroman-3-yl-3,4,5-trihydroxycyclohexanecarboxylate similar or preferable to oseltamivir and preferable to amantadine (Roschek *et al.*, 2009) – another neuraminidase inhibitor used against influenza infection before seasonal influenza developed almost complete resistance to the drug (CDC, 2006; Deyde *et al.*, 2007; CDC, 2009). One study on synergistic effects between amantadine and a multi-herb treatment containing *S. nigra* flowers found that synergistic effects exists between the herbal treatment and several amantadine treatments against influenza *in vitro* (Serkedjieva and Zgorniak-Nowosielska, 1993). A study comparing oseltamivir with concentrated elderberry juice in influenza-infected mice found that the elderberry juice significantly inhibited the infection, and that while oseltamivir may have had slightly greater inhibition, elderberry worked at least in part by stimulating an immune response, while oseltamivir lessened immune response compared with a control group by directly inhibiting viral neuraminidase (Kinoshita *et al.*, 2012). However, these few studies alone are insufficient to accurately determine the potential of *S. nigra* or its constituents in comparison with accepted antiviral medications, and more research is needed in this area.

Viral resistance can develop easily in cases of medicine being used unnecessarily or preventatively, especially at low doses over long periods of time; thus, most traditional antivirals, such as oseltamivir, are not recommended preventatively (Burch *et al.*, 2009). Thus, if the promising results of early studies as to *S. nigra*'s preventative effects (Burge *et al.*, 1999; Kinoshita *et al.*, 2012) prove accurate, it could be of great benefit to public health. While preventative use of most traditional antivirals could provide an opportunity for pathogenic viruses to develop resistance to the drug (Memoli *et al.*, 2010; American Academy of Pediatrics, 2015), *S. nigra* displays the biochemical complexity of most plants' antimicrobial defensive mechanisms, in which multiple compounds and mechanisms simultaneously inhibit the activity of susceptible pathogens. As it is highly unlikely

that any individual virion would have adequate defenses against all these mechanisms, as would be necessary to begin the evolution of resistance, these pathogens would be unlikely to develop any resistance to *S. nigra*. However, more research is needed to verify or disprove this claim. *S. nigra* also stimulates natural immune responses in the host, as opposed to neuraminidase inhibitors (Kinoshita *et al.*, 2012), and has been shown to be effective against neuraminidase inhibitor-resistant viruses (Morag *et al.*, 1997). By stimulating a host immune response, *S. nigra* adds additional mechanisms targeting the virus, thus making it even more unlikely for viruses to develop resistance; the inhibited immune response seen in neuraminidase inhibitors (because the drug acts directly on the virion, reducing stress on the host immune system) has the opposite effect. At the least, *S. nigra* could be a suitable treatment against oseltamivir-resistant viruses. Further, any potential evolution of resistance to *S. nigra* by influenza viruses or other viral pathogens would be unlikely to provide a detrimental impact on public health compared with its current state (by providing increased fitness to the virus against other treatment options), although this claim would need to be verified before the potential to benefit public health by preventative prescription of *S. nigra* extract could be properly evaluated.

CONCLUSION

Black elder has many constituents beneficial to general health and is implicated in the relief of a wide variety of health disorders. Despite the need for more research in many areas, *S. nigra* is promising as an inexpensive and low-risk treatment for influenza and other viral and non-viral pathogens – and potentially as a preventative for acute viral infections as well.

Ethics

This review conforms to the Committee on Publication Ethics Codes of Conduct and Best Practice Guidelines.

Conflict of Interest

The authors declare that they have no conflict of interest.

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